



PATENT
Docket No.: 19226/950 (R-5557)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|------------|---|---------------------------|---|------------|
| Applicants | : | Ren et al. |) | Examiner: |
| | | |) | M. Miggins |
| Serial No. | : | 09/336,126 |) | |
| Cnfrm No. | : | 7291 |) | Art Unit: |
| | | |) | 1772 |
| Filed | : | June 18, 1999 |) | |
| For | : | FREE-STANDING AND ALIGNED |) | |
| | | CARBON NANOTUBES AND |) | |
| | | SYNTHESIS THEREOF |) | |

#14
LW
8-28-01

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Pursuant to 37 CFR §§ 1.17(p) and 1.97(c), enclosed is a check for \$180.00 to cover the required filing fee. The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment to Deposit Account No. 14-1138.

08/24/2001 SSITHIB1 00000109 09336126

02 FC:126

180.00 DP

Respectfully submitted,

Date: August 21, 2001

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| Certificate of Mailing - 37 CFR 1.8 (a) | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below. | |
| 8/21/01 | <u>Wendy L. Harrold</u> |
| Date | Wendy L. Harrold |

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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449) | ATTY. DOCKET NO. 19226/950 (R-5557) | SERIAL NO. 09/336,126 |
| | APPLICANT Ren et al. | |
| | FILING DATE June 18, 1999 | GROUP ART UNIT 1772 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPRO- PRIATE |
|---------------------|---|--------------------|----------|------------------|-------|----------|--|
| | 1 | 5,569,635 | 10/29/96 | Moy et al. | | | |
| | 2 | 5,589,152 | 12/31/96 | Tennent et al. | | | |
| | 3 | 5,650,370 | 07/22/97 | Tennent et al. | | | |
| | 4 | 5,707,916 | 01/13/98 | Snyder et al. | | | |
| | 5 | 5,726,116 | 03/10/98 | Moy et al. | | | |
| | 6 | 5,753,088 | 05/19/98 | Olk | | | |
| | 7 | 5,780,101 | 07/14/98 | Nolan et al. | | | |
| | 8 | 6,129,901 | 10/10/00 | Moskovits et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANS- LATION IF APPRO- PRIATE |
|--|--|--------------------|------|---------|-------|----------|--|
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | | DATE CONSIDERED | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | |